



- (A) IF YES, PROVIDE DETAILS. \_\_\_\_\_
5. ARE ANY OF THE APPLICANT'S PRODUCTS OR SERVICES USED IN CONNECTION WITH AIRCRAFTS, MISSILES, OR AEROSPACE? ..... YES \_\_\_ NO \_\_\_
- (A) IF YES, PROVIDE DETAILS. \_\_\_\_\_

**III. PROCESSING AND QUALITY CONTROL**

**1. PROCESSING**

- (A) DO ANY PRODUCTS OR INGREDIENTS OR COMPONENTS THEREOF, ORIGINATE FROM OUTSIDE THE UNITED STATES? ..... YES \_\_\_ NO \_\_\_
- (I) IF YES, SPECIFY:
- (1) THE COUNTRY(IES) OF ORIGIN: \_\_\_\_\_
- (2) THE NAME OF EACH MANUFACTURER, DISTRIBUTOR OR SUPPLIER: \_\_\_\_\_
- (B) DO OTHERS MANUFACTURE, ASSEMBLE, PACKAGE OR INSTALL PRODUCTS UNDER APPLICANT'S NAME OR LABEL? ..... YES \_\_\_ NO \_\_\_
- (I) IF YES, PROVIDE THE NAME(S) OF CONTRACT MANUFACTURER(S): \_\_\_\_\_
- (C) DOES THE APPLICANT MANUFACTURE, ASSEMBLE, PACKAGE, OR INSTALL PRODUCTS FOR OTHERS UNDER THEIR NAME OR LABEL? ..... YES \_\_\_ NO \_\_\_
- (I) IF YES, EXPLAIN. \_\_\_\_\_

**2. QUALITY CONTROL AND RECORDKEEPING**

- (A) DOES THE APPLICANT HAVE A QUALITY CONTROL AND TESTING PROCEDURE? ..... YES \_\_\_ NO \_\_\_
- (I) IF YES, HOW LONG DOES THE APPLICANT KEEP QUALITY CONTROL AND TESTING RECORDS? \_\_\_\_\_
- (B) CAN THE APPLICANT IDENTIFY ITS PRODUCT(S) FROM THOSE OF COMPETITORS? ..... YES \_\_\_ NO \_\_\_
- (C) DO ALL RECORDS SHOW TO WHOM EACH PRODUCT WAS SOLD AND THE DATE EACH PRODUCT WAS SOLD? ..... YES \_\_\_ NO \_\_\_
- (D) DOES THE APPLICANT REQUIRE CERTIFICATES OF INSURANCE EVIDENCING PRODUCTS LIABILITY INSURANCE FROM SUPPLIERS? ..... YES \_\_\_ NO \_\_\_
- (E) WHO DESIGNS THE APPLICANT'S PRODUCTS? \_\_\_\_\_
- (F) ARE PRODUCT DESIGNS REVIEWED, TESTED AND VERIFIED BY OTHERS? ..... YES \_\_\_ NO \_\_\_
- (G) DOES THE APPLICANT HAVE A SPECIFIC PROGRAM TO WITHDRAW KNOWN OR SUSPECTED DEFECTIVE PRODUCTS FROM THE MARKET? ..... YES \_\_\_ NO \_\_\_
- (H) HAS THE APPLICANT EVER RECALLED OR IS IT CONSIDERING RECALLING ANY PRODUCT? ..... YES \_\_\_ NO \_\_\_  
**IF YES, ATTACH AN EXPLANATION.**
- (I) HAVE ANY OF THE APPLICANT'S PRODUCTS OR INGREDIENTS OR COMPONENTS THEREOF, EVER BEEN THE SUBJECT OF ANY INVESTIGATION, ENFORCEMENT ACTION, OR NOTICE OF VIOLATION OF ANY KIND BY ANY GOVERNMENTAL, QUASI-GOVERNMENTAL, ADMINISTRATIVE, OR OVERSIGHT BODY? ..... YES \_\_\_ NO \_\_\_
- (1) IF YES, PROVIDE DETAILS. \_\_\_\_\_

**IV. INSURANCE INFORMATION**

1. (A) LIMITS OF LIABILITY: INDICATE THE LIMITS OF LIABILITY REQUESTED: \$ \_\_\_\_\_ / \$ \_\_\_\_\_
- (B) DEDUCTIBLE: INDICATE THE DEDUCTIBLE REQUESTED: \$ \_\_\_\_\_
- THE COMPANY DOES NOT GUARANTEE TO OFFER ANY OF THE ABOVE LIMITS AND/OR DEDUCTIBLES.**

2. PROVIDE THE FOLLOWING FOR PRESENT PRODUCT LIABILITY INSURANCE: **IF NONE, CHECK HERE** \_\_\_\_\_

RETROACTIVE/ COMPANY DATE	LIMITS OF LIABILITY	DEDUCTIBLE/ SIR	PREMIUM	EXPIRATION (MM/DD/YYYY)	DATES PRIOR ACTS
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3. HAS ANY INSURER DECLINED, CANCELED, OR NONRENEWED ANY PRODUCT LIABILITY INSURANCE OR ANY SIMILAR INSURANCE ON BEHALF OF ANY ORGANIZATION(S) PROPOSED FOR THIS INSURANCE? ..... YES \_\_\_ NO \_\_\_
- IF YES, PROVIDE DETAILS. \_\_\_\_\_

**V. CLAIM HISTORY**

1. HAS ANY CLAIM FOR PRODUCT LIABILITY BEEN MADE AGAINST ANY PERSON(S) OR ORGANIZATION(S) PROPOSED FOR THIS INSURANCE DURING THE LAST FIVE (5) YEARS? .....  YES  NO  
 IF YES, PROVIDE FIVE (5) YEAR LOSS HISTORY FOR ALL CLAIMS, INCLUDING ANY PREDECESSOR. ATTACH A DESCRIPTION OF ANY LOSS GREATER THAN \$10,000.

YEAR	NO. OF CLAIMS	TOTAL AMOUNTS PAID	AMOUNTS RESERVED	TOTAL INCURRED	DATE OF LOSS INFO.

2. IS (ARE) ANY PERSON(S) OR ORGANIZATION(S) PROPOSED FOR THIS INSURANCE AWARE OF ANY FACT, INCIDENT, CIRCUMSTANCE, SITUATION, CONDITION, DEFECT OR SUSPECTED DEFECT, WHICH MAY RESULT IN A PRODUCT LIABILITY CLAIM, SUCH THAT WOULD FALL UNDER THE PROPOSED INSURANCE?  YES  NO

IF YES, PROVIDE DETAILS. \_\_\_\_\_

**VI. ADDITIONAL INFORMATION**

AS PART OF THIS APPLICATION ATTACH THE FOLLOWING: BROCHURES; LABELS; AND INSTRUCTIONS.

**NOTICE TO THE APPLICANT - PLEASE READ CAREFULLY**

NO FACT, CIRCUMSTANCE OR SITUATION INDICATING THE PROBABILITY OF A "CLAIM" OR ACTION FOR WHICH COVERAGE MAY BE AFFORDED BY THE PROPOSED INSURANCE IS NOW KNOWN BY ANY PERSON(S) OR ORGANIZATION(S) PROPOSED FOR THIS INSURANCE OTHER THAN THAT WHICH IS DISCLOSED IN THIS APPLICATION. IT IS AGREED BY ALL CONCERNED THAT IF THERE IS KNOWLEDGE OF ANY SUCH FACT, CIRCUMSTANCE OR SITUATION, ANY "CLAIM" SUBSEQUENTLY EMANATING THEREFROM SHALL BE EXCLUDED FROM COVERAGE UNDER THE PROPOSED INSURANCE.

FOR THE PURPOSE OF THIS APPLICATION, THE UNDERSIGNED AUTHORIZED AGENT OF THE PERSON(S) AND ORGANIZATION(S) PROPOSED FOR THIS INSURANCE DECLARES THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF, AFTER REASONABLE INQUIRY, THE STATEMENTS IN THIS APPLICATION AND IN ANY ATTACHMENTS, ARE TRUE AND COMPLETE. MARKEL SHAND, INC. OR THE COMPANY IS AUTHORIZED TO MAKE ANY INQUIRY IN CONNECTION WITH THIS APPLICATION. SIGNING THIS APPLICATION DOES NOT BIND THE COMPANY TO PROVIDE OR THE APPLICANT TO PURCHASE THE INSURANCE.

THIS APPLICATION, INFORMATION SUBMITTED WITH THIS APPLICATION AND ALL PREVIOUS APPLICATIONS AND MATERIAL CHANGES THERETO OF WHICH MARKEL SHAND, INC. RECEIVES NOTICE IS ON FILE WITH MARKEL SHAND, INC. AND IS CONSIDERED PHYSICALLY ATTACHED TO AND PART OF THE POLICY IF ISSUED. MARKEL SHAND, INC. AND THE COMPANY WILL HAVE RELIED UPON THIS APPLICATION AND ALL SUCH ATTACHMENTS IN ISSUING THE POLICY.

IF THE INFORMATION IN THIS APPLICATION AND ANY ATTACHMENT MATERIALLY CHANGES BETWEEN THE DATE THIS APPLICATION IS SIGNED AND THE EFFECTIVE DATE OF THE POLICY, THE APPLICANT WILL PROMPTLY NOTIFY MARKEL SHAND, INC., WHO MAY MODIFY OR WITHDRAW ANY OUTSTANDING QUOTATION OR AGREEMENT TO BIND COVERAGE.

THE UNDERSIGNED DECLARES THAT THE PERSON(S) AND ORGANIZATION(S) PROPOSED FOR THIS INSURANCE UNDERSTAND THAT:

- (I) THE POLICY FOR WHICH THIS APPLICATION IS MADE APPLIES ONLY TO "CLAIMS" FIRST MADE DURING THE "POLICY PERIOD";
- (II) UNLESS AMENDED BY ENDORSEMENT, THE LIMITS OF LIABILITY CONTAINED IN THE POLICY SHALL BE REDUCED, AND MAY BE COMPLETELY EXHAUSTED BY "CLAIM EXPENSES" AND, IN SUCH EVENT, THE COMPANY WILL NOT BE LIABLE FOR "CLAIM EXPENSES" OR THE AMOUNT OF ANY JUDGMENT OR SETTLEMENT TO THE EXTENT THAT SUCH COSTS EXCEED THE LIMITS OF LIABILITY IN THE POLICY; AND
- (III) UNLESS AMENDED BY ENDORSEMENT, "CLAIM EXPENSES" SHALL BE APPLIED AGAINST THE "DEDUCTIBLE".

**WARRANTY**

I/WE WARRANT TO THE COMPANY, THAT I/WE UNDERSTAND AND ACCEPT THE NOTICE STATED ABOVE AND THAT THE INFORMATION CONTAINED HEREIN IS TRUE AND THAT IT SHALL BE THE BASIS OF THE POLICY AND DEEMED INCORPORATED THEREIN, SHOULD THE COMPANY EVIDENCE ITS ACCEPTANCE OF THIS APPLICATION BY ISSUANCE OF A POLICY. I/WE AUTHORIZE THE RELEASE OF CLAIM INFORMATION FROM ANY PRIOR INSURER TO MARKEL SHAND, INC. OR THE COMPANY, TEN PARKWAY NORTH, DEERFIELD, ILLINOIS 60015.

**NOTE: THIS APPLICATION IS SIGNED BY UNDERSIGNED AUTHORIZED AGENT OF THE APPLICANT(S) ON BEHALF OF THE APPLICANT(S) AND ITS OWNERS, PRINCIPALS, PARTNERS, DIRECTORS, OFFICERS AND EMPLOYEES.**

MUST BE SIGNED BY THE OWNER, PRINCIPAL, PARTNER, EXECUTIVE OFFICER OR EQUIVALENT (WITHIN 60 DAYS OF THE PROPOSED EFFECTIVE DATE).

NAME OF APPLICANT \_\_\_\_\_

TITLE \_\_\_\_\_

\_\_\_\_\_  
SIGNATURE OF APPLICANT

\_\_\_\_\_  
DATE

**NOTICE TO APPLICANTS:** ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS THE PERSON TO CRIMINAL AND CIVIL PENALTIES.

**DISCLOSURE NOTICE OF TERRORISM  
INSURANCE COVERAGE AND ELECTION FORM**

RE:

RISK ID. NO.:

YOU ARE HEREBY NOTIFIED THAT UNDER THE TERRORISM RISK INSURANCE ACT OF 2002 (THE "ACT"), EFFECTIVE NOVEMBER 26, 2002, AND EXTENDED ON DECEMBER 22, 2005, THAT YOU NOW HAVE A RIGHT TO PURCHASE INSURANCE COVERAGE FOR LOSSES ARISING OUT OF ACTS OF TERRORISM, AS DEFINED IN SECTION 102(1) OF THE ACT ("TERRORISM COVERAGE"); THE TERM "ACT OF TERRORISM" MEANS ANY ACT THAT IS CERTIFIED BY THE SECRETARY OF THE TREASURY, IN CONCURRENCE WITH THE SECRETARY OF STATE, AND THE ATTORNEY GENERAL OF THE UNITED STATES—TO BE AN ACT OF TERRORISM; TO BE A VIOLENT ACT OR AN ACT THAT IS DANGEROUS TO HUMAN LIFE, PROPERTY; OR INFRASTRUCTURE; TO HAVE RESULTED IN DAMAGE WITHIN THE UNITED STATES, OR OUTSIDE THE UNITED STATES IN THE CASE OF AN AIR CARRIER OR VESSEL OR THE PREMISES OF A UNITED STATES MISSION; AND TO HAVE BEEN COMMITTED BY AN INDIVIDUAL OR INDIVIDUALS ACTING ON BEHALF OF ANY FOREIGN PERSON OR FOREIGN INTEREST, AS PART OF AN EFFORT TO COERCE THE CIVILIAN POPULATION OF THE UNITED STATES OR TO INFLUENCE THE POLICY OR AFFECT THE CONDUCT OF THE UNITED STATES GOVERNMENT BY COERCION.

YOU SHOULD KNOW THAT TERRORISM COVERAGE REQUIRED TO BE OFFERED BY THE ACT FOR LOSSES CAUSED BY CERTIFIED ACTS OF TERRORISM IS PARTIALLY REIMBURSED BY THE UNITED STATES UNDER A FORMULA ESTABLISHED BY FEDERAL LAW. UNDER THIS FORMULA, THE UNITED STATES PAYS 90% (85% IN 2007) OF COVERED TERRORISM LOSSES EXCEEDING THE STATUTORILY ESTABLISHED DEDUCTIBLE PAID BY THE INSURANCE COMPANY PROVIDING THE COVERAGE. THE PREMIUM CHARGED FOR THIS TERRORISM COVERAGE IS PROVIDED BELOW AND DOES NOT INCLUDE ANY CHARGES FOR THE PORTION OF LOSS COVERED BY THE FEDERAL GOVERNMENT UNDER THE ACT.

**SELECTION OR REJECTION OF TERRORISM INSURANCE COVERAGE**

PLEASE ENTER "X" IN ONE OF THE BOXES BELOW AND SIGN AND DATE WHERE INDICATED BELOW.

**ALASKA, FLORIDA, GEORGIA AND OKLAHOMA APPLICANTS:** PLEASE BE ADVISED THAT IN THE EVENT A POLICY IS PURCHASED, THE POLICY PREMIUM WILL INCLUDE A 1% SURCHARGE FOR TERRORISM COVERAGE UNLESS YOU ELECT TO DECLINE TERRORISM COVERAGE. YOU NEED TO ENTER AN "X" BELOW IF YOU WISH TO DECLINE TERRORISM COVERAGE.

	I HEREBY ELECT TO PURCHASE THE TERRORISM COVERAGE REQUIRED TO BE OFFERED UNDER THE ACT. I UNDERSTAND THAT MY POLICY PREMIUM WILL INCLUDE A 3% SURCHARGE FOR THIS COVERAGE.
	I DECLINE TO PURCHASE THE TERRORISM COVERAGE REQUIRED TO BE OFFERED UNDER THE ACT. I UNDERSTAND THAT MY POLICY WILL BE ENDORSED TO EXCLUDE THE TERRORISM COVERAGE REQUIRED TO BE OFFERED UNDER THE ACT.

\_\_\_\_\_  
NAME OF APPLICANT

\_\_\_\_\_  
TITLE (OFFICER, PARTNER, ETC.)

\_\_\_\_\_  
SIGNATURE OF APPLICANT

\_\_\_\_\_  
DATE